Attorney Docket No.: YOR920030174US1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Katherina Babich

Arpan P. Mahorowala David R. Medeiros

Dirk Pfeiffer

**Docket No.:** YOR920030174US1

Serial No.: Unassigned

Filing Date: Concurrently Herewith

Title: LITHOGRAPHIC ANTIREFLECTIVE HARDMASK COMPOSITIONS

AND USES THEREOF

## INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following document(s) listed on the accompanying PTO Form 1449.

## **U.S. Patent Documents**

- 1. U.S. Patent No. 4,371,605
- 2. U.S. Patent No. 6,268,457
- 3. U.S. Patent No. 6,365,765
- 4. U.S. Patent No. 6,368,400
- 5. U.S. Patent No. 6,420,084
- 6. U.S. Patent No. 6,506,497

Copies of each of the following listed items are enclosed:

### **Other Documents**

7. Feher, F.J., "Polyhedral Oligosilsesquioxanes and Heterosilsesquioxanes," Gelest, Inc., pgs. 43-59.

Attorney Docket No.: YOR9200301742US1

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or that no other material information exists.

Date: August 5, 2003

Respectfully submitted,

Michael J. Chang

Attorney for Applicants

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FORM PTO-1449 (MODIFIED)

Applicant:

Katherina Babich Arpan P. Mahorowala David R. Medeiros

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Group:

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LIST OF PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

EXAMINER INITIAL		Ü	S. PATENT DOCUMENTS		
	DOCUMENT NO.	DATE	NAME		FILING DATE IF APPROPRIATE
	4,371,605	02/01/83	Renner	430/280.1	
	6,268,457	07/31/01	Kennedy et al.	528/39	
	6,365,765	04/02/02	Baldwin et al.	556/440	
-	6,368,400	04/09/02	Baldwin et al.	106/481	
· · · · · · · · · · · · · · · · · · ·	6,420,084	07/16/02	Angelopouls et al.	430/270.1	
-	6,506,497	01/14/03	Kennedy et al.	428/447	
		FOR	EIGN PATENT DOCUMENTS		
EXAMINER <u>INITIAL</u>	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

# OTHER DOCUMENTS EXAMINER INITIAL

Feher, F.J., "Polyhedral Oligosilsesquioxanes and Heterosilsesquioxanes," Gelest, Inc., pgs. 43-59.

Examiner

**Date Considered** 

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.